Advisor Selection Checklist

ADVISOR			
Do I feel my advisor cares about me, my loved ones, and my goals?			
Is my advisor responsive to me?			
Is my advisor proactive?			
Advisor Peace of Mind Rating	/ 3	/ 3	/ 3

FIRM	FIRM #1: EP WEALTH	FIRM #2	FIRM #3
	ep wealth advisors		
Fee-Only Fiduciary			
No commissions on Insurance			
No commissions on Investment Products			
Personal Website and Real Time Financial Aggregator			
Investor Education Events and Newsletters			
Dedicated Financial Planning Department			
Certified Financial Planner™ Professionals			
Tax preparation			
Estate planning			
Employee Benefits and Stock Options Analysis			
Real Estate Analysis			
Social Security Analysis			
College Funding			
Business Planning for Small Business Owners and Executives			
Insurance Analysis			
Medicare Analysis			
Financial Planning Predictive Modeling (Monte Carlo Analysis)			
Dedicated Investment Management Team			
Decisions Made by 7 person Investment Committee			
Active and Passive Investment Options			
In-house Research by CFA® charterholders			
Institutional Research			
Individual Stocks and Bond Strategies			
Liquid Investments			
Transparent Performance Reporting			
Quarterly Reviews			
Firm Peace of Mind Rating	/ 26	/ 26	/ 26
Total Peace of Mind Rating	/ 29	/ 29	/ 29

This Advisor Selection Checklist was developed and created by EP Wealth Advisors ("EPWA) and can be used as a tool to evaluate advisors and advisory firms. However, this checklist is not intended to be regarded as a comprehensive list of all categories, requirements, or qualifications that a client or prospective client should consider when assessing or comparing Financial Advisors and/or Firms. There are factors that may be important to you that were not considered and are not accounted for in this checklist. EPWA makes no representations or warranties as to the accuracy, timeliness, suitability, completeness, or relevance of any information presented in this report. There is no guarantee or warrantee that hiring or working with an Advisor and/or Advisory Firm that meets all or a majority of the categories or obtains a high Total Peace of Mind Rating score will increase the probability of investment success or that you will achieve higher performance or results, nor does it guarantee that you will obtain better overall client experience. All investments and investment strategies or subject to profit and loss and the risk of investment loss can never be eliminated. EPWA is not in the business of providing tax or legal services or advice. Always consult your tax advisor and/or attorney regarding your specific situation.